



The Frankfurt Latin Lexicon: From morphological expansion and word embeddings to SemioGraphs

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ABSTRACT

In this article we present the Frankfurt Latin Lexicon (*FLL*), a lexical resource for Medieval Latin that is used both for the lemmatization of Latin texts and for the post-editing of lemmatizations. We describe recent advances in the development of lemmatizers and test them against the Capitularies corpus (comprising Frankish royal edicts, mid-6th to mid-9th century), a corpus created as a reference for processing Medieval Latin. We also consider the post-correction of lemmatizations using a limited crowdsourcing process aimed at continuous review and updating of the *FLL*. Starting from the texts resulting from this lemmatization process, we describe the extension of the *FLL* by means of word embeddings, whose interactive traversing by means of SemioGraphs completes the digitally enhanced hermeneutic circle. In this way, the article argues for a more comprehensive understanding of lemmatization, encompassing classical machine learning as well as intellectual post-corrections and, in particular, human computation in the form of interpretation processes based on graph representations of the underlying lexical resources.

KEYWORDS: lemmatization, crowdsourcing, post-correction, stratified embeddings, SemioGraph.

1. Introduction

Regarding lexical resources for Natural Language Processing (NLP) of historical languages such as Latin, three paradigms can be roughly distinguished: (i) morphologically enriched lexica or dictionaries such as the Frankfurt Latin Lexicon (*FLL*) to be presented here, which use, for example, rules of morphological expansion to generate inflected forms from lemmas collected from web and other resources, (ii) wordnets such as the famous WordNet (Miller, 1995), which as a terminological ontology (Sowa, 2000) distinguishes (wordforms as search terms of) lemmata from synsets and their sense relations, and (iii) word embeddings (Komninos and

Manandhar, 2016; Levy and Goldberg, 2014; Ling *et al.*, 2015; Mikolov *et al.*, 2013) which address the statistical modeling of syntagmatic (contiguity) and paradigmatic (similarity) associations of lexical units (Halliday and Hasan, 1976; Jakobson, 1971; Miller and Charles, 1991; Raible, 1981)¹. Ideally, for a historical language such as Latin, there exists an integrated system of resources of these kinds in a sufficiently deep state of development: by using such a resource, a professional user or NLP system is extensively informed about the lexical units of the target language on different levels of lexical resolution (including wordforms, lemmata, superlemmata, lexeme groups, etc.), about their morpho-syntactic and semantic features as well as about their various sense relations and unsystematic associations. Regarding the example of lemmatization, such a resource would support both the initial automatic lemmatization and its intellectual post-correction, which in turn would be the starting point for the post-correction or further development of this resource, thereby closing the digitally enhanced hermeneutic circle. However, the example of the Latin WordNet (Minozzi, 2017) already shows that the components of such an integrated resource are still out of reach for this historical language (as explained and analyzed in Franzini *et al.*, 2019). The same applies to input-intensive word embeddings, which require large amounts of text data, but which are not yet freely available for Latin (see, however, *UDify*, Kondratyuk and Straka, 2019, as an example of an approach that seems to circumvent this limitation – cf. Section 4). Last but not least, voluntarily created lexical information systems such as Wiktionary, which aim to integrate wordnet-related information with dictionary information, suffer not only from a lack of scope, but also from multiple sources of information biases (cf. Mehler *et al.*, 2017). Therefore, it remains a challenge to provide not only one of the three types of resources (dictionary, wordnet, embeddings) for Latin in sufficient quantity, but even more to do so for at least two of these types – in an integrated manner. This article wants to take a step in this direction. That is, we present the *FLL* as a kind of Latin dictionary that distinguishes lexical units at the level of word forms, syntactic words², lemmata, and superlemmata, provides rich grammatical in-

¹ In the case of modern languages, a fourth paradigm would be given by knowledge graphs derived, for example, from Wikidata or Wikipedia.

² Syntactic words are signs in the sense of structuralism (SAUSSURE, 1916): they include an expression plane (called ‘wordform’) and a content plane. The content plane of syntactic words is usually represented by an attribute value-structure that collects grammatical features such as *case* and *numerus* in the case of nouns or *tempus* and *genus verbi* in the case of verbs. Thus, the same wordform may be

formation for syntactic words, serves as a resource for the post-correction of automatic lemmatization, provides a word-for-word monitoring of the lemmatization status of each text, which is particularly easy to read for non-IT philologists, and supports the computation of word embeddings at various levels of lexical resolution. We also show how these embeddings can be presented as interactive graphs to encourage the correction and further development of the underlying resources.

The article is organized as follows: Section 2 outlines the structure of the *FLL* and quantifies the extent of its overgeneration or, conversely, its lack of coverage. Section 3 deals with the post-processing of the lemmatization of Latin texts with the help of the *FLL*, while Section 4 compares the current progress of lemmatizers for Latin. Subsequently, Section 5 deals with the derivation of genre-sensitive word embeddings for Latin and their visualization by means of interactive SemioGraphs. These visualizations are then used in Section 6 to conduct case studies in computational historical semantics, which ultimately combine lemmatization and the evaluation of word embedding graphs with the underlying *FLL*. In this way, we will speak of a ‘digitally enhanced hermeneutic circle’ implemented through the NLP pipeline for Latin, as presented in this article. Finally, Section 7 draws conclusions and gives an outlook on future work.

2. From superlemmata to syntactic words

The Frankfurt Latin Lexicon³ (*FLL*) is a morphological lexicon, currently for Medieval Latin, that is Latin between 400 and 1500 CE. Its main purpose is to support the automatic lemmatization of Latin texts with the Text-technology Lab Latin Tagger (*TTLab* Tagger) (Gleim *et al.*, 2019; cf. Stoeckel, 2020), which is available through the TextImager⁴ (Hemati *et al.*, 2016), the eHumanities Desktop⁵ (Gleim *et al.*, 2012) and GitHub⁶. It was created starting in 2009 (cf. Mehler *et al.*, 2011; see also Jussen *et al.*, 2007)

mapped to different syntactic words (as, for example, *house* in *Your house₁ is next to her house₂* in which the tokens *house₁* and *house₂* manifest the same wordform but two different syntactic words distinguished by case).

³ Cf. <https://www.compbistsem.org/70.html/>.

⁴ Cf. <https://textimager.hucompute.org/>.

⁵ Cf. <https://hudesktop.hucompute.org/>.

⁶ Cf. <https://github.com/texttechnologylab>.

by extracting and collecting lemmata from various web-based resources. This includes⁷ the *AGFL* Grammar Work Lab⁸ (Koster and Verbruggen, 2002), the Latin morphological analyzer *LemLat* (Passarotti, 2004), the Perseus Digital Library (Crane, 1996), William Whitaker's Words⁹, the Index Thomisticus¹⁰ (Passarotti and Dell'Orletta, 2010), Ramminger's Neulateinische Wortliste¹¹, the Latin Wiktionary¹², Latin training data of the Tree Tagger (Schmid, 1994), the so-called Najock Thesaurus¹³, and other resources from cooperating projects like Nomen et Gens that provide several thousands of Latin personal names¹⁴. Since then, the *FLL* has grown continuously through the lemmatization of Latin texts¹⁵.

The entries of the *FLL* are structured according to a four-level model consisting of wordforms, syntactic words (mapping wordforms onto vectors of grammatical features), lemmata and superlemmata. The introduction of the superlemma level was particularly important for preserving the considerable orthographical richness of Medieval Latin as a historical language. This approach is analogous to the Wiktionary model of lexical units, but in contrast to Wiktionaries and above all wordnets (such as the Latin WordNet – cf. Franzini *et al.*, 2019) it lacks lexical-semantic relations (see Section 1).

The superlemma provides the normalized spelling of a lemma so that on the lemma level different spellings can be kept. The *FLL* currently contains 116,297 superlemmata and 133,691 subordinated lemmata. These lemmata have been expanded morphologically according to the standard grammar of Classical Latin as described in Menge (2009) and Rubenbauer *et al.* (2009) so that the *FLL* now has 9,663,808 syntactic words (see Table 1 for earlier statistics of the *FLL*)¹⁶.

⁷ For the following list see MEHLER *et al.* (2015); see also VOR DER BRÜCK and MEHLER (2016) for more information about the *FLL*. The presentation of the *FLL* in this article is a correction of its earlier presentation in MEHLER *et al.* (2015), which contained much higher amounts of overgeneration.

⁸ Apparently, this resource no longer exists.

⁹ Cf. <http://archives.nd.edu/words.html>; today <http://www.latin-dictionary.net/>.

¹⁰ Cf. <http://www.corpusthomaticum.org/it/index.age>.

¹¹ Cf. <http://www.neulatein.de/>.

¹² Cf. https://la.wiktionary.org/wiki/Victionarium:Pagina_prima.

¹³ This data was provided by Michael Trauth, Trier University.

¹⁴ Cf. <http://www.neg.uni-tuebingen.de/>.

¹⁵ This concerns mainly texts provided by the project Corpus Corporum of Philipp Roelli in Zurich (<http://www.mlat.uzh.ch/MLS/>), the Monumenta Germaniae Historica (<https://www.dmgh.de/>) and the Institut de recherches d'histoire des textes (IRHT; <https://www.irht.cnrs.fr/>).

¹⁶ Apart from some exceptions like the oblique case, the grammar rules did not alter between Classical and Medieval Latin; cf. (MENGE, 2009; RUBENBAUER *et al.*, 2009).

PoS	Superlemma	Lemma	Syntactic Word	Description
ADJ	21,870	26,070	3,337,028	adjective
ADV	9,682	11,163	42,864	adverb
AP	86	117	482	preposition
CON	101	140	519	conjunction
DIST	46	49	1,321	distributive number
FM	76	109	2,343	foreign material
ITJ	112	115	254	interjection
NE	5,843	6,649	114,757	named entity
NN	35,433	45,383	745,345	common noun
NP	26,741	29,657	247,911	personal name
NUM	101	143	3,140	number
ORD	131	194	4,871	ordinal number
PRO	125	172	8,041	pronoun
PTC	12	17	38	particle
V	9,081	13,164	5,135,824	verb
XY	114	117	718	unknown
Sum	109,554	133,259	9,645,456	

Table 1. *Statistics of the FLL (release as of May, 2019): superlemmas, lemmas and syntactic words are listed together with their numbers and differentiated by 15 parts of speech, supplemented by a class of words (denoted by XY), which collects unknown cases.*

In the future, the Superlemma-ID will be used to connect the *FLL* with other lexical resources on the web (and also with resources provided by traditional long-term institutions for Latin lexicography), so that morphological information will be available together with reading aids. The lexicon could also work with a fourth (‘lexeme group’) and a fifth level (‘synset’) to bundle superlemmata of different PoS that share the same root, or to map semantic relations. But this is future work.

The *FLL* can map multi-word units, which makes it easier to record proper names such as *Colonia Agrippina*. However, the four-step model currently meets the requirements of lemmatization. The lexicon is managed via the Lexicon Browser of the eHumanities Desktop, which has been especially adapted for humanities scholars without programming skills. Entries can be created, changed, merged, reorganized or deleted by authorized users. The so-called Extension Tool then creates all inflected forms for newly entered lemmata.

Only basic information is needed to identify the right declination or conjugation for the new token. All changes are documented by naming the authors and timestamps. Additional columns allow descriptions to be added to the entries or to show if an entry has been double-checked. This procedure was developed together with various third-party funded humanities projects that have based their philological and linguistic research on their work with the *FLL*¹⁷.

An objection to the method of morphological expansion, and thus to lexica of the type of the *FLL*, is to say that it is prone to overgeneration. To calculate this, we used as a reference corpus a repository of Latin texts including Migne Patrologia Latina (*MPL*), substantial parts of the Monumenta Germaniae Historica (*MGH*) and other repositories¹⁸ to ask for the number of syntactic words of the *FLL* that our lemmatization finds manifested in this repository. This is shown in Table 2. Indeed, only 9% of the syntactic words of the *FLL* are found in this ‘text repository’. Figure 1 shows how this coverage grows with the percentage of tokens of the reference corpus covered by the *FLL*.

Attribute	Value
All texts	111,515
All tokens	185,808,777
Tokens mapped to the <i>FLL</i>	180,535,369 (97.16%)
Tokens unassigned	5,273,408
All superlemmata in the <i>FLL</i>	109,554
Superlemmata used	83,780 (76.47%)
All lemmata in the <i>FLL</i>	133,259
Lemmata used	102,728 (77.09%)
All syntactic words	9,645,456
Syntactic words used	871,452 (9.04%)

Table 2. *On overgeneration and underrepresentation as induced by the FLL (release as of May, 2019).*

¹⁷ See below.

¹⁸ For the Monumenta Germaniae Historica (*MGH*) see the openMGH repository (<http://www.mgh.de/dmgh/openmgh/>); Migne Patrologia Latina (*MPL*) is available from the Corpus Corporum website (<http://www.mlat.uzh.ch/MLS/>); in addition the repository includes the Roman Law Library (<https://droitromain.univ-grenoble-alpes.fr/>), the corpus of Cluny Charters (<http://www.cbma-project.eu/>), parts from the Latin Library (<http://thelatinlibrary.com/>) and from the Central European Medieval Texts Series (<http://ceupress.com/series/central-european-medieval-texts>).

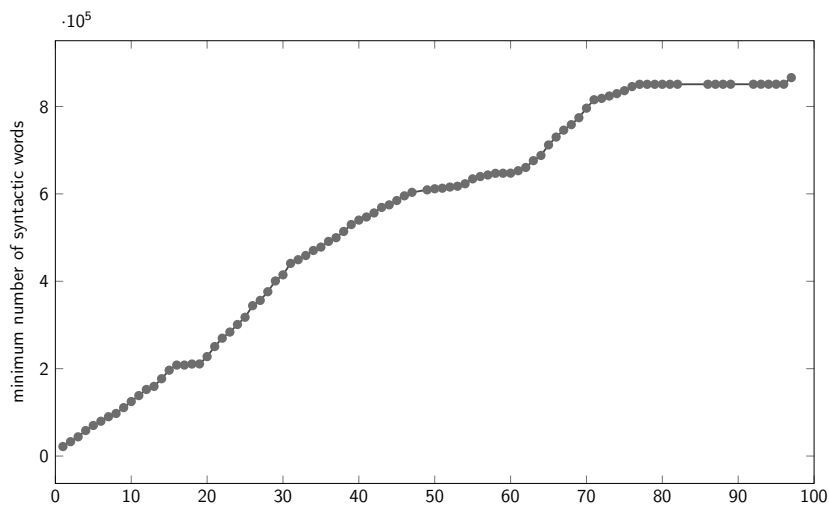


Figure 1. *The minimum number of syntactic words of the FLL (release as of May, 2019) (y-axis) sufficient to cover the corresponding percentage of tokens of the reference corpus.*

It demonstrates almost a linear trend – except for the rightmost part of the distribution: the number of syntactic words in the *FLL* that are observed in the corpus grows linearly with the size of this corpus. The coverages are much higher on the level of lemmata (77.09%) and superlemmata (76.47%). On the other hand, the underrepresentation, that is, the number of tokens within our reference corpus that are unknown from the point of view of the *FLL*, is remarkably low (2.84%). Such a rate of coverage did not seem to be possible in the early days of the *FLL*: in fact, it was the morphological expansion that made it an extensive lexicon that allows such rates, so that with each lemmatized token the associated grammatical features can be linked. Furthermore, the corpus of Medieval Latin texts within the eHumanities Desktop¹⁹ is continuously extended, with each syntactic word being identified by a corresponding corpus frequency. These frequencies allow the subsequent filtering of supposedly overgenerated words for downstream tasks or even for information retrieval by users.

¹⁹ It currently manages 112,657 Latin documents of different sizes (release as of November 2019).

3. Crowdsourcing the *FLL*

The *FLL* grows as new texts are uploaded into the text database of *HSCM*²⁰ and lemmatized. The result of automatic lemmatization is checked mainly by Latin philologists during the post-lemmatization process. They correct unfitting assignments between text and lexicon or create new entries in the *FLL* to close gaps in the lexicon and in the lemmatization. To this end, human editors can use the so-called Lemmatization Editor of the eHumanities Desktop. It presents lemmatized text in a color code and a statistical overview indicating the state of lemmatization. The color code differentiates nine distinct levels of lemmatization. The code does not only lead the human editor to tokens that still need to be identified or disambiguated but also marks lemmatization results with different degrees of certainty. The expert then opens the so-called Word-Link-Editor for a token to check the tagger's choice that the expert can confirm or correct. Here, she or he can disambiguate the result if the tagger has not taken a decision. In very few cases – concerning mainly proper names, OCR mistakes or abbreviated wordforms – the color code displays the token in blue which means that no corresponding entry could be found in the *FLL*. In this case, the human editor can either correct the misspelling directly within the Lemmatization Editor or create a new entry. If necessary, the expert can leave the editor and open the Lexicon Browser to create a new superlemma and/or expand lemmata. All these actions influence the state of lemmatization directly which becomes visible through the changing color code.

Evidently, the manual post-lemmatization process may detect errors in the *FLL*. In this case, the expert must correct the corresponding entries, merge duplicates, or delete incorrect entries. Such errors are mainly due to the initial setup when information was taken from different sources or through overgeneration as a result of morphological expansion. But even human editors sometimes make mistakes. Therefore the lexicon offers the possibility to mark entries as 'double-checked'. Since changes in the lexicon cannot simply be undone, changing the entries requires a high level of expertise, which is why the lemmatization and subsequent lexicon work is only carried out by trustworthy project members. As a result, this work is done using a limited crowdsourcing approach by assigning update rights to a limited

²⁰ *HSCM* stands for Historical Semantics Corpus Management, a system for managing the Latin text database of the eHumanities Desktop.

number of experts using eHumanities Desktop's rights management tool (Gleim *et al.*, 2012). In the project Computational Historical Semantics²¹, linguists from the universities of Bielefeld, Regensburg and Tübingen, who worked directly with the *TTLab* and the Historical Seminar of the Goethe University, were allowed to update the lexicon. In addition, external partner projects from the Universities of Cologne, Freiburg and Mainz participate in the update process of the *FLL* after appropriate training²². As of May 2019, the percentage of lemmata created or modified by this procedure was 13.93% (18,565 lemmata).

The synchronization between the lemmatized corpus and the *FLL* as induced by post-lemmatization and lexicon updates is managed by *TEILex*, a system for integrating lexica and text corpora, in which the tokens of a corpus are linked with corresponding lexicon entries in such a way that lexicon updates are immediately transferred to the linked corpora and vice versa. In this way, expert-based lexicon modeling becomes less dependent of indexing the underlying corpus. Figure 2 shows the corresponding workflow of *TEILex*: automatic text processing using TextImager (which generates XMI files) and subsequent TEI conversion generates a TEI-conform corpus that is indexed and synchronized with the *FLL* using *TEILex*. In this process, experts can change both the lexicon (see update (1) in Figure 2) and the tagged corpus (update (3)). However, in order to prevent the corpus from being re-indexed after each change, the synchronization of the *TEILex* corpus with the *FLL* allows the automatic execution of changes of the lexicon on the synchronized corpora. The *TEILex* index is then automatically revised without having to interrupt the use of the system. This procedure has greatly accelerated the post-correction process by protecting it from too many interruptions.

²¹ Cf. www.comphistsem.org.

²² This concerns e.g. the project HUMANIST (2017-2020 at the universities Darmstadt, Mainz and at the Hochschule Mainz; <https://humanist.hs-mainz.de/>, funded by the Federal Ministry of Education and Research). In this context, a current project at Johann Gutenberg University is establishing a digital version of the so-called various letters written by the eminent 6th century politician and philosopher Cassiodorus (d. ca. 585). Here, specialists are checking the automatic lemmatization provided by means of the *FLL* and produce completely disambiguated texts for their project's purposes. Particularly worth emphasizing is how they make their work transparent – see <https://humanist.hs-mainz.de/projekt/inhaltlicher-projekt kern/digitale-edition/>; a Freiburg University based partner project, funded by the German Research Foundation, focused on high medieval feudal law and imperial charters (<https://gepris.dfg.de/gepris/projekt/264932155>); another partner project focusses on 6th to 9th century Frankish royal edicts, so called capitularies (<https://capitularia.uni-koeln.de/>), a long term project funded by the Union of the German Academies of Sciences and Humanities).

unknown languages. Under these 124 treebanks are 3 in Latin: *PROIEL* (Haug and Jøhndal, 2008), *Perseus* (Bamman and Crane, 2011) and *ITTB* (Cecchini *et al.*, 2018; Passarotti and Dell’Orletta, 2010) with a total of 582,336 tokens. The *PROIEL* treebank contains most of the Vulgate New Testament translations plus selections from Caesar and Cicero. *ITTB* (i.e. the Index Thomisticus Treebank) contains the complete work by Thomas Aquinas (1225-1274; Medieval Latin) and by 61 other authors related to Thomas, while *Perseus* contains a selection of passages from diverse authors like Augustus and Tacitus. All treebanks are annotated with the Universal Dependencies²⁴ (*UD*) Framework (Nivre *et al.*, 2016). In order to adapt *UDify*, the texts are preprocessed with the help of *BERT*, whereby for each downstream task a separate classifier is trained with the help of the resulting *BERT* word vectors. The *UDPipe* model (Straka and Straková, 2017), on the other hand, is a pipeline system (i.e. a system that interconnects series of NLP tools) that does not rely on large transformer models, but is designed independently for each target language and therefore cannot (directly) exploit similarities between languages. As a consequence, 97 independent models were trained on 97 treebanks from 64 languages (Straka and Straková, 2017).

We have tested both models on our corpus of Frankish royal edicts, the capitularies (Mehler *et al.*, 2015), and on the *PROIEL* corpus (Haug and Jøhndal, 2008) and compared the results with the taggers from our original work (Gleim *et al.*, 2019). All results are listed in Table 3.

First we concentrate on the results on the *PROIEL* corpus. *UDPipe* achieves significantly better results on this dataset than *UDify*, although both were trained on this dataset. Generally with 96.32% a very good F1 score²⁵ is achieved by *UDPipe*. However, the dataset used by *UDify* for training also included the *ITTB* and *Perseus* data. It is not surprising that the tools that were trained on the Capitularies perform significantly worse on the *PROIEL* data. The evaluation on the Capitularies, on the other hand, is more interesting since neither *UDPipe* nor *UDify* were trained on it. *LemmaTag*, which was also trained on the Capitularies (Gleim *et al.*, 2019), reaches an F1 score of more than 96%. Taggers such as *LemmaGen*, *MarMoT* and *LemmaTag* on the other hand, which were only trained on *PROIEL*, generalize much worse when being evaluated out-domain by means of the Capitularies; this can be an indicator of overfitting. *UDify*, which was trained

²⁴ Cf. <https://universaldependencies.org/>.

²⁵ The F1 score is the harmonic mean of precision and recall of the corresponding classification.

Lemmatizer	Trainings Corpus	<i>PROIEL</i> (Haug and Jøhndal, 2008)	Capitularies (Mehler <i>et al.</i> , 2015)
<i>LemmaGen</i> (Juršić <i>et al.</i> , 2010)	Capitularies (Mehler <i>et al.</i> , 2015)	81.39 (Gleim <i>et al.</i> , 2019)	95.64 (Gleim <i>et al.</i> , 2019)
<i>MarMoT</i> (Müller <i>et al.</i> , 2013)	Capitularies (Mehler <i>et al.</i> , 2015)	81.24 (Gleim <i>et al.</i> , 2019)	95.81 (Gleim <i>et al.</i> , 2019)
<i>LemmaTag</i> (Kondratyuk <i>et al.</i> , 2018)	Capitularies (Mehler <i>et al.</i> , 2015)	82.25 (Gleim <i>et al.</i> , 2019)	96.13 (Gleim <i>et al.</i> , 2019)
<i>LemmaGen</i> (Juršić <i>et al.</i> , 2010)	<i>PROIEL</i> (Haug and Jøhndal, 2008)	90.63 (Gleim <i>et al.</i> , 2019)	76.28 (Gleim <i>et al.</i> , 2019)
<i>MarMoT</i> (Müller <i>et al.</i> , 2013)	<i>PROIEL</i> (Haug and Jøhndal, 2008)	90.29 (Gleim <i>et al.</i> , 2019)	76.37 (Gleim <i>et al.</i> , 2019)
<i>LemmaTag</i> (Kondratyuk <i>et al.</i> , 2018)	<i>PROIEL</i> (Haug and Jøhndal, 2008)	81.85 (Gleim <i>et al.</i> , 2019)	49.61 (Gleim <i>et al.</i> , 2019)
<i>UDPipe</i> (Straka and Straková, 2017)	<i>ITTB</i> (Passarotti and Dell'Orletta, 2010)	---	83.80
<i>UDPipe</i> (Straka and Straková, 2017)	Perseus (Bamman and Crane, 2011)	---	78.87
<i>UDPipe</i> (Straka and Straková, 2017)	<i>PROIEL</i> (Haug and Jøhndal, 2008)	96.32 (Kondratyuk and Straka, 2019)	86.94
<i>UDify</i> (Kondratyuk and Straka, 2019)	124 treebanks	91.79 (Kondratyuk and Straka, 2019)	88.25

Table 3. Results of lemmatizers trained on different data (*rows*) and evaluated on the *PROIEL* corpus and our *Capitularies* (Frankish royal edicts, 6th to 9th c.) corpus (*columns*). Bold indicates best results of in-domain and underlined of out-domain lemmatization. The references behind the results refer to the paper in which they were published. Unreferenced scores indicate newly trained models.

on three Latin corpora and several other languages, generalizes much better: being evaluated out-domain by means of the Capitularies, it still reaches an F1 score of 88.25%. Among all taggers which were not trained on the Capitularies, *UDify* achieves the best results. This ability to generalize makes it a very interesting candidate for lemmatization. The results between the corpora may have been even stronger, but there are differences in annotation between them. This is particularly evident in the errors that *UDify* makes most often (as listed in Table 4). Just by fixing these errors by means of a simple post-processor, *UDify*'s performance can be considerably improved.

Form	Gold	Predicted	Count
<i>a</i>	<i>a</i>	<i>ab</i>	2020
<i>se</i>	<i>sui</i>	<i>se</i>	999
<i>quod</i>	<i>quod</i>	<i>qui</i>	892
<i>ac</i>	<i>ac</i>	<i>atque</i>	884
<i>sibi</i>	<i>sui</i>	<i>se</i>	371
<i>vero</i>	<i>vero</i>	<i>verus</i>	345
<i>seu</i>	<i>seu</i>	<i>sive</i>	342

Table 4. *Most frequent errors made by UDify on the Capitularies.*

This analysis shows that in-domain lemmatization can be delegated to modern neural network models that appear to be largely independent of lexicons of the type of the *FLL*. Even those models of Gleim *et al.* (2019), which use the *FLL*, are outperformed by transformer-based models in the area of out-domain lemmatization. From the point of view of the manual post-lemmatization process, however, the reference to a lexicon remains indispensable when it comes to distinguishing between lemmata and superlemmata and correctly assigning them to incorrectly lemmatized tokens – a residual task that can probably never be fully automated. That is, regardless of the enormous progress achieved by transformer-based taggers, an out-domain F-score of 88% (as demonstrated by *UDify* on the Capitularies) falls short of the threshold that would be acceptable from the point of view of humanities scholars. And even if one assumes that F-scores around 98% are practically unattainable, since inter-rater agreements also fall short of this margin, the requirement remains for human post-processing and especially post-lemmatization, which requires corresponding lexicon-based guidance as addressed, for example, by the *FLL*. And since a lexicon such as the *FLL* requires inte-

gration with distributional semantic resources sprouting up everywhere, an answer is needed to the question of how the compact vector representations of such approaches can be mapped to manageable graphs, which in turn can be consulted by expert users to refine their lexicon work. An answer to this question will be sketched in the following section.

5. *Genre-sensitive embeddings in Latin*

Gleim *et al.* (2019) show that using word embeddings can boost lemmatization also in Latin to a remarkable degree. However, the embeddings involved are calculated for large corpora, such as the Patrologia Latina (Jordan, 1995), without taking, for example, the genre-related diversity of text vocabularies into account: as with lexical ambiguities, such embeddings model varieties using composite structures without providing separate representations for them. Apparently, approaches of this sort assume that resources are homogeneous data: they operate on as much data as possible from genres, registers or time periods that do not exhibit substantial heterogeneity or whose heterogeneity is ignored by the model. In this article, we take a different approach: by subdividing corpora according to their contextual stratification, we obtain subcorpora for training specialized embeddings that differentiate knowledge which is otherwise amalgamated within the same model. This makes it possible to explicitly represent differences of the same word due to its varying use in different genres, subject areas or stylistic contexts. In this way, reference is made to linguistic knowledge in order to make the computation of lexical relations more transparent. The further goal is to improve the interpretability of machine learned resources from the point of view of the targeted community.

Thus, in light of Section 1, our goal is to extend *FLL* so that for each word a series of embeddings is learned that are differentiated according to a subset of contextual dimensions (e.g. author, genre, style, register, topic, etc.). *FLL* then no longer represents words (superlemmata, lemmata, wordforms or syntactic words) as nodes of a monoplex network, but as nodes of a multiplex network (Boccaletti *et al.*, 2014) whose multiplexity is established by context dimensions. Henceforth, we denote this variant of *FLL* by *FLL+*: *FLL+* is a terminological ontology that spans a multiplex network according to different contextual dimensions and thus provides a series of contextualized representations for each of its lexical entries – as (downloadable) embeddings and as traversable SemioGraphs (see below). Multiplicity means to

network the vertices of the same graph according to different (in the present article: contextual, discourse-level) criteria. Furthermore, the embeddings used to network *FLL+* as a multiplex network are partly hierarchically ordered by the subset relations of the corpora involved. This means, among other things, that word embeddings calculated for a subcorpus *x* of a corpus *y* can be used to approximate embeddings calculated on the latter.

In order to generate *FLL+*, we consider genre as a contextual dimension by example of six instances (see Table 5): ‘epistolographic’, ‘legal’, ‘liturgical’, ‘narrative’, ‘political’ and ‘theological’ texts.

	#Text	#Tokens
Reference corpus	111,515	185,808,777
Overall training corpus	33,791	61,451,677
Epistolographic texts	844	16,406,556
Legal texts	31,461	12,097,990
Liturgical texts	252	2,667,784
Narrative texts	663	7,635,906
Political texts	31	3,197,879
Theological texts	494	18,305,475

Table 5. *Statistics of the corpora used for computing specialized embeddings.*

Further, we analyze authorship as a contextual dimension by example of three authors: Bernard of Clairvaux (d. 1153), John of Salisbury (d. 1180) and William of Ockham (d. 1347). Last but not least, we compute embeddings for our reference corpus of 111,515 texts²⁶. This corpus contains the *Patrologia Latina*, historiographical and legal texts from the *Monumenta Germaniae Historica* and additional historiographical texts from the *Central European Medieval Texts* series. The corpus can be accessed by means of the *eHumanities Desktop*. The same applies to the special corpus of legal texts analyzed here (see Table 5) that contains the *Corpus Iuris Civilis* (compiled 528-534) and the *Corpus Iuris Canonici* (gradually compiled from the mid-12th to the 15th century) next to canonical decrees and Carolingian law texts. This approach of contrasting the reference corpus with specialized subcorpora makes it pos-

²⁶ All embeddings are available for download at <http://embeddings.texttechnologylab.org>.

sible to compare embeddings generated by means of the reference with those obtained for specialized genres (see Section 6 for such a comparison).

Since our focus is on genre and author-related variation and not on method optimization, we concentrate on efficiently computable methods:

- (i) We utilize the well-known continuous bag-of-words (*CBOW*) and the skip-gram model of *word2vec* (Mikolov *et al.*, 2013).
- (ii) As a further development of *word2vec*, we experiment with *fastText* (Joulin *et al.*, 2017), which additionally evaluates character embeddings for computing word embeddings. This approach again comes in two variants: skip-gram and *CBOW*.
- (iii) As we deal with subsets of corpora of varying size (see Table 5), we also experiment with a method that addresses ‘small’ corpora. This relates to the approach of Jiang *et al.* (2018), who evaluate the common absence of words in text segments as an additional source of information (cf. Rieger, 1989). An alternative approach is the one of Silva and Amarathunga (2019), who generate random paths in sentence networks to obtain sentence variants for extending small input corpora. Both approaches have been evaluated in the context of word similarity tasks and are promising candidates for dealing with low-resource situations. However, we concentrate on the approach of Jiang *et al.* (2018).

Starting from the resulting embeddings and their specialization for different genres, we generate so called ‘local graph views’: instead of comparing embedding representations as a whole (cf. Veremyev *et al.*, 2019; Yaskevich *et al.*, 2019)²⁷, local views generate local neighborhoods of words. For this purpose we generate for all words of the *FLL* the graph induced by their 100 nearest neighbors. In this way, we get for each word of the *FLL* $50 = 10$ (1 reference corpus + 9 subcorpora) $\times 5$ (computational methods) different embeddings. Since the *FLL* distinguishes between wordforms, syntactic words, lemmata and superlemmata, this finally multiplies to 200 different embeddings to be managed²⁸.

Since our goal is not only to generate distributional semantic resources for Latin text genres, but to make them also interactively available to the (expert) user, we finally generate so-called *SemioGraphs*²⁹ as visualizations of local graph views using the pipeline³⁰ of Figure 3.

²⁷ Cf. also <https://github.com/anvaka/word2vec-graph>.

²⁸ For reasons of space complexity we compute only a subset thereof.

²⁹ Cf. <http://semigraph.texttechnologylab.org/>.

³⁰ This pipeline is available at <https://github.com/texttechnologylab/SemioGraph>.

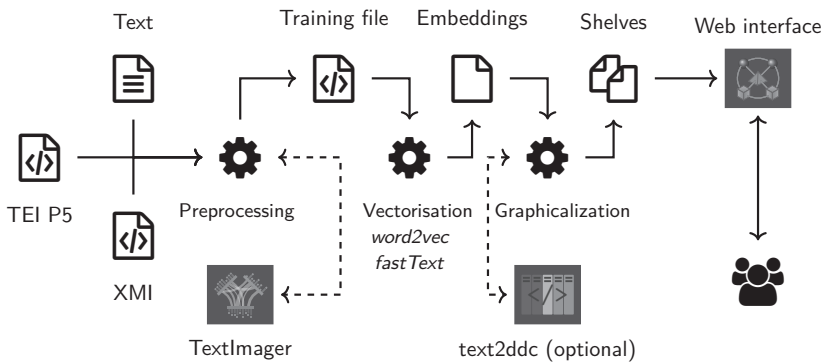


Figure 3. *Processing pipeline for generating local graph views from embeddings possibly enhanced by topic labels as input to interactive traversable SemioGraphs.*

It includes three steps to process plain text, TEI or XMI³¹ documents where TextImager (Hemati *et al.*, 2016) is used to preprocess input documents based on the procedures for processing Latin documents described in Gleim *et al.* (2019): preprocessing creates training files from single documents or entire repositories as input for vectorization (e.g. by means of word2vec etc.). The third step (‘graphicalization’) generates input files required by the SemioGraph application, a Python script that uses the flask server framework, which is available as a Docker image for rapid setup. The SemioGraph application manages all generated embeddings for generating graph views. The output of graphicalization is stored in Python shelf files, that is, key value stores of Python objects that allow for fast access by the server. If available, graphicalization enriches SemioGraphs on the node level with topic labels using text2ddc (Uslu *et al.*, 2019), which is currently trained for 40 languages (but not yet for Latin). The Python shelves are finally used to generate interactive visualizations using SemioGraph’s web interface. In this interface, node size codes two dimensions of vertex-related salience: while ‘height’ codes degree centrality, ‘width’ is used to code the similarity to the seed word. Furthermore, ‘node transparency’ can be used to code degrees of class membership values, while ‘node color’ can map the corresponding class (this feature is not used in our example below). Beyond that, ‘border color’ can be used to code a 2nd-level vertex-related classification (e.g. topic-related class membership). Finally,

³¹ XMI is a serialization format for UIMA-CAS documents.

in the case of multilabel classifications, ‘node tiling’ (i.e. pie charts) can be used to code distributions of class membership per vertex (also this feature is currently not used by our Latin SemioGraphs).

Generally speaking, a SemioGraph is a visual, interactive representation of word embeddings as a result of the latter procedure: starting from a word x , its SemioGraph displays those m words which by their embeddings are among the first m neighbors of x in the similarity space induced by the underlying embeddings. This means that vertices or nodes in a SemioGraph represent words or multi-word units, while edges or links represent associations of these nodes, the strength of which is represented by the thickness of the (visual representation of the) edges. Since word embeddings induce fully connected graphs (in which all words are connected with each other), the SemioGraph interface allows to filter low associations to get visual insights into the underlying graph structures: this enables the visual formation of clusters of nodes, which have a higher number of internal associations than to members of other clusters or to outliers. Thus, if a SemioGraph of a word x is generated using this method, this does not mean that visually disconnected words are not associated. Actually, they are, but to a degree below the user-controlled threshold value. In any case, due to the way embeddings are calculated here (if being based on the *CBOW* model as done below), SemioGraphs show paradigmatic associations. This means that even if word co-occurrences are frequent (indicating higher syntagmatic associations), the word associations need not appear in the corresponding SemioGraph. This happens in cases where the contexts in which the words are used throughout the underlying corpus are less similar than their inclusion among the m most similar neighbors would require: a SemioGraph always shows only a selected subset of associations. Thus, not appearing in a given SemioGraph does not necessarily mean non-existence. If the latter selection would include all words from the input corpus, then these would all be displayed in the SemioGraph, of course by means of edge representations of variable thickness. Visualizing genre-sensitive embeddings using SemioGraphs then means first generating word embeddings separately for corpora that reflect certain genre-, register-, chronology-, or other context-related features, and then visualizing the neighborhoods of certain seed words to determine the differences or similarities of their context-sensitive syntagmatic or paradigmatic associations. This is illustrated in detail in the next section by means of paradigmatic word associations.

6. Brief case studies in computational historical semantics with the help of SemioGraph

In this section, we apply the method of local graph views to Latin word embeddings as provided by SemioGraph, briefly present four SemioGraphs and sketch how they may provide a new kind of evidence for computational historical semantics in the humanities. In our first example we calculate paradigmatic associations (Rieger, 1989) of the noun *conclusio* “conclusion” in the test corpus of legal texts (see Table 5). The resulting SemioGraph (see Figure 4) allows first observations on the principle functionality of SemioGraphs for a comparatively small corpus, on the potentials of genre-sensitive SemioGraphs, and at the same time on necessary further work and current performance of the *FLL* and *TTLab*’s tagger and lemmatizer for Latin.



Figure 4. *Local graph view of conclusio (NN); genre: legal texts (see Table 5); method: CBOW (Mikolov et al., 2013).*

On the one hand, the calculated semantic connections in Figure 4 correspond to what for historians fits very well into a well-known context of legal history. First, there are mostly technical terms for different aspects in legal

processes – “inquiry”, “examination”, “excuse”, “allegation” (*cognitio, examinatio, excusatio, denuntiatio*, etc.) – from the dispute to its settlement. Time expressions such as “ten-year” (*decennius*) or “four-year” (*quadriennium*) may not necessarily refer to the length of punishments, but rather to time specifications in legislation. Secondly, there is a single recognizable content, that is, marriage legislation, and this is quite clearly visible. The graph shows a vocabulary that historians would expect in texts on marriage legislation of these centuries – “divorce” / “repudiation” (*divortium, repudium*), “copulation” or “copulate” (the term *copulam* signals the need for manual post-lemmatization), the legal importance of the ‘consumption’ of a marriage (*consummatio*), “puberty” (*pubertas*), the “conjugal union” (*matrimonialis* – adjective), or “conceiving” and “childbearing” (*partum*, specification via post-lemmatization is needed).

On the other hand, links between many words – as well as the occurrence of words without any links – indicate a need for further clarification, which must be systematized in the workflow of such queries: no link or edge connects the keyword *conclusio* with any of the other words. Apparently, *conclusio* does not co-occur in the underlying corpus with any of these words with sufficient frequency. Links between words require a certain minimum number of neighborhoods, which serve as a reliable source of information for their paradigmatic associations. Note again that if a SemioGraph shows no link between two words, this does not mean that they are not related to each other; it only means that their paradigmatic association is below a certain minimum, where the user of the SemioGraph sets this threshold him- or herself.

Some of the key words of marriage legislation such as ‘copulation’ or ‘consumption’ are also disconnected in the SemioGraph in Figure 4. Some of these observations may disappear with the enlargement of the underlying corpus by means of texts that provide more evidence about their contextual similarities (Miller and Charles, 1991). In any case, the calculation of paradigmatic associations ultimately aims at making such phenomena visible. That is, associations should become visible even if the words involved are rare in the underlying corpus, but the similarities of the contexts in which they are used are sufficiently strongly confirmed by that corpus. It is therefore less a matter of eliminating such observations in a SemioGraph (in terms of post-correction) than of making them (i) controllable by means of corpus selection and (ii) interpretable with respect to this selection. One of several possible explanations may be that the keyword has not been used in standardized collocations: and such an observation can then be the starting point for research in the respective humanities.

inary, the SemioGraph gives the impression that forms of *anathema* and of *excommunico* do indeed have a fairly similar set of neighboring words (in the sense that the SemioGraph displays many shared links). At the same time, we observe missing edges or links visualized by thin lines. This in turn indicates that the words concerned are associated below the threshold for visualizing such relations. Considering phrases like “excommunicate or anathemize” (*excommunicare vel anathematizare*) or “suspend or excommunicate” (*suspendere vel excommunicare*) used by some very influential authors (Regino of Prüm, Burchard of Worms, Ivo of Chartres), one may wonder why these co-occurrences are not reflected in the graph among the 50 closest neighbors³².

The same observation can be made with *interdictum*, the third central weapon for hard ecclesiastical punishment. This term shows even fewer links to *excommunico* in the SemioGraph than the forms of anatheme, although again more than 200 times *excommunico* and a form of *interdictum* co-occur in sentences of the underlying corpus. A check in the corpus shows that, although pairings such as those cited may appear sufficiently frequent overall, the individual pairings are actually not sufficiently frequent to cross the threshold. These kinds of observations lead to further questions, especially what kind of calculation – by sentences or by word distances – brings the graph closer to the notion of ‘paradigmatic associations’, and how the observation of paradigmatic neighborhoods relates to classical co-occurrence analyses. Other terms in the SemioGraph of Figure 5 express reasons for excommunication like “heresy” (*haeresis* – noun), “disobedience” (*inobedio* – verb), “contumacy” (*contumax* – adjective) or “rebellion” (*rebellis* – adjective), as well as for the lifting of the excommunication (central: *reconcilio*; among the probable terms that are according to the SemioGraph not used as neighbors of *excommunico*: *absolvo*, i.e. “absolve”). Astonishingly, we do not find expressions for the holy community of the church itself among the paradigmatic neighbors. This also would demand further investigation.

In a third example, we look for the 50 closest associations of “father”, in Latin *pater*, first within the complete reference corpus (a mere repository from patristics to the 15th century, Figure 6) and then in our legal texts corpus (Figure 7).

³² One may also ask whether the SemioGraph can be associated more with methodological questions of computing rather than with historical phenomena. The reason is that any calculation of word association requires the fixation of certain parameters such as the number of neighbors in a sentence or the length of sentences in which neighbors are observed (and this holds of course also for SemioGraph). Any such parameter setting carries the risk of excluding relevant contexts – this is a general characteristic of computational linguistic analyses.

This experimental arrangement follows the expectation that in the corpus of all texts we might see central religious aspects (Christ as the Son of God, Mary as the Mother of God), while in the corpus of legal texts we might see the Roman Catholic normative settings of the kinship system. The SemioGraph in Figure 6, which visualizes the results for our whole repository including all sorts of texts, shows some inconspicuous, culture-unspecific words such as “father” (*pater*), “mother” (*mater*), “son” (*filius*), “uncle” (*patruus*) or “paternal” (*paternalis*). We also find words that seem to be specific to the ancient Roman Mediterranean kinship system, such as *paterfamilias*, i.e. the father as head of a household, *materfamilias* or rarely *paterfamiliae* (the word *paterfamiliae* is sometimes used; however, the wordforms that have been automatically subsumed under this lemma mostly should be subsumed under *paterfamilias*). But a first test of the diachronic distribution suggests that they are not specific to a time. This observation reminds us that the repository brings together texts from two very different social systems – from the Greco-Roman Mediterranean (‘Antiquity’) and from the post-Roman Latin societies (‘Middle Ages’). The semantics referring to the core Christian faith are clearly recognizable. The centrality of *unigenitus* in the SemioGraph points to “God’s only begotten Son” (*unigenitus dei filius*, see also *deus* and *Christus* in the SemioGraph), an often repeated phrase of the Catholic creed. The strong connection of the word *unigenitus* to consubstantiality (“of the same substance”, *consubstantialis*, *consubstantialiter*) indicated by the edges in the SemioGraph stresses the link to the Catholic creed. The SemioGraph reflects the prevailing religious attitude towards paternity bonds which subordinated carnal to spiritual paternity. Fatherhood of God, priests and godparents was a strong discursive element. The important role of godparents is visible by means of the terms “co-father” and “co-mother” (*commater/conmater* and *compater/conpater*) as neighbors of *pater*. Less visible are clerics as spiritual fathers since they were simply addressed as “father”. Significant is also the lack of a broad family vocabulary that would differentiate family relationships. Only the mother and the paternal uncle (*patruus*) are present in this SemioGraph. This observation coincides at first glance with the broadly discussed hypothesis that the Roman male agnatic kinship system faded away under the influence of the church from the sixth century on in favor of kin groups organized around the conjugal couple (see Jussen, 2009). There is hardly any evidence of genealogical connections and far-reaching family relationships in this graph. This will be different in the SemioGraph in Figure 7.

Odd occurrences – such as the rarely used proper name *Sopater* as part of the SemioGraph in Figure 6 – immediately raise doubts and are hence particularly important terms for cross-checks of automatic procedures, that is, for intellectual post-correction. *Sopater*, correctly lemmatized in the *FLL* as a proper name, is an obvious candidate to check how paradigmatic similarities and corresponding neighborhoods are calculated. Even more conspicuous is the rather central position of the term *paterfamiliae*, a very rare variant of the common but here completely missing lemma *paterfamilias*. Both are subsumed under the same superlemma within *FLL*. In any event, two-dimensional geometric representations of graphs should not be overinterpreted – they may be due more to the visualization method and less to the underlying graph topology.

Such obvious but rare problems, however, are contrasted in Figure 7 by a multitude of plausible and in terms of interpretation controllable links, so that the added value of paradigmatic graphs for Latin texts can be regarded as successfully tested alongside the classical analyses of co-occurrences and syntagmatic patterns. First of all, it is striking that the kinship designations and the distinctions between the maternal and paternal lines, which were missing in the first graph, are prominent here (*avus* – “grandfather”, *proavus* – “great-grandfather”, *propatruus* – “great-granduncle”, *abpatruus* – “great-great-great uncle from the father’s side”, a very rare word by the way, *tritavus* – “a grandfather’s great-grandfather”, *proavunculus* – “great uncle from the mother’s side”). It is also striking that the designations almost exclusively refer to the paternal line. Since canon law has developed the kinship designations in both lineages in detail (in connection with the prohibition of incest), this finding again requires verification, that is, further research by the humanities scholar. In this case, the compilation of the corpus probably needs to be corrected. Presumably, charters function in linguistic terms differently from normative legal texts so that the corpus of legal texts should be divided into two corpora in future work. Furthermore, the data of the SemioGraph will probably only become meaningful when the long-term diachronic corpus of legal texts can be examined according to time sections. Only then will it be possible to see what was different in the Roman Mediterranean world compared to the post-Roman Latin-Christian societies.

These short case studies may suffice as an example for the implementation of computational tools like SemioGraph and the *FLL* in academic cultures with a very long hermeneutical tradition:

- (i) The implementation of such tools in the humanities will have to start by overcoming mistrust. The results of the SemioGraphs must therefore be able to mirror the expectations and the ‘assured knowledge’ of the humanities in order to promote confidence in the reliability and controllability of the computerized calculation results.
- (ii) Only then can they successfully manifest the unexpected that deviates from the discipline’s ‘assured knowledge’.
- (iii) In this way, the SemioGraphs may motivate ‘re-reading’, no longer guided by the authority of a very long hermeneutical tradition (which inevitably privileges certain canonized ‘famous’ texts), but stimulated by the authority of well controllable and comparable corpora.
- (iv) Central to the acceptance of computational tools in the historical humanities is the strict and disciplined distinction between repositories and corpora, as we have shown in our last example.

It is these steps that must be achieved in order to institutionalize a lasting improvement of knowledge resources such as the *FLL* and SemioGraphs.

Establishing SemioGraphs as a tool for the visualization of paradigmatic associations in disciplines such as history or literary studies, theology or philosophy is no easy task. Despite all the changes that digitalization has brought with it, these disciplines will remain ‘children of hermeneutics’. The success of any new methods depends on the ability to control the evidence in relatively small steps. The examples presented here can point to a way in this direction. In this article the focus was more on the technical possibilities, with some test cases as illustrations. It is left to a follow-up study to systematically verify the empirical gain – for example by examining one and the same seed word in all research perspectives mentioned here, that is, syntagmatic versus paradigmatic analyses, different definitions of neighborhoods (within one sentence, in the syntagmatic neighborhood of n words etc.), comparisons of different text types and different time layers of Latin texts (Roman world up to ca. Justinian, post-Roman Latin societies 6th-11th century, 12th-16th century). Only such a multi-perspective analysis can help to assess the added value and reliability of analyses such as those exemplified here.

By means of these case studies, we obtain an example of the triadic role of computational tools such as SemioGraph from the perspective of the applying humanities. That is, such tools serve:

- (i) to meet and confirm the expectations of the scholars involved,

- (ii) to manifest the unexpected that deviates from the current state of knowledge of the discipline, and
- (iii) to motivate subsequent processes of ‘re-reading’ in order to substantiate possible interpretations of the unexpected finding.

As far as this ‘new reading’ is equipped with tool chains of the kind outlined in this article, it could eventually lead to updates of the underlying knowledge resources, that is, the *FLL* and the embeddings based thereon, which in turn require updates of corresponding SemioGraphs, so that we finally get a manifestation of a digitally enhanced hermeneutic circle. We are convinced that it is worth pursuing this research direction further.

7. Conclusion

In this article, we presented the Frankfurt Latin Lexicon (*FLL*) as a dictionary resource for Latin that distinguishes between word forms, syntactic words, lemmata and superlemmata and thus implements a word model known from the Wiktionary project. We outlined a restricted crowdsourcing process by means of which the *FLL* is continuously checked and updated as well as the lemmatization of texts based thereon. We additionally reported progress in the lemmatization of Latin texts and stressed the need to enhance the *FLL* by means of word embeddings that are stratified according to contextual parameters such as genre, authorship and chronological order. Then, we introduced SemioGraphs as a means to interact with and traverse this embedding information. Finally, we presented case studies based on SemioGraphs using word embeddings computed for selected seed words of the *FLL*. Since these case studies show the need for downstream processes of close reading and possibly for corrections of the underlying lemmatization, we have identified in this process chain an instance for a ‘digitally enhanced hermeneutic circle’. It could be seen as an example of a prototypical strategy for dealing with lemmatization or, more generally, natural language processing of historical language texts. Future work will focus on a more detailed examination of word embeddings in Latin, their local and global graph representations, and in particular on their intrinsic evaluation.

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